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I hereby certify that this correspondence and the enclosures are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, P. O. Box 1450, Alexandria, VA 22313-1450, MAIL STOP IDS on July 29, 2004.

*Linda M. Christman*  
Linda M. Christman

*7/29/04*

Date

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No: 10/689,326  
Filed: October 20, 2003  
Applicant: Mark A. Back and John Michael Riley  
Title: **CONSERVATORY ROOF WITH A SOFFIT SYSTEM**  
Art Unit: 3635  
Examiner: Unknown  
Atty. Docket: PLCR/05US

Cincinnati, Ohio 45202

July 29, 2004

**MAIL STOP IDS**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

The references listed on the attached Substitute Form PTO-1449 (8 pages) are called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application. A copy of each cited reference is enclosed. An electronic Information Disclosure Statement was filed on July 29, 2004.

No admission is made that the cited art represents the prior art or that the cited art is the most material art. The Examiner is urged to consider the cited references and to make an independent decision with respect to the materiality thereof.

It is believed that a first Office Action on the merits has yet to be mailed and thus no fee is believed due. If a fee is due, the Commissioner is authorized to charge our Deposit Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

A handwritten signature in black ink, appearing to read "John Paul Davis", written over a horizontal line.

John Paul Davis  
Reg. No. 52,798

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441 Vine Street  
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K:\PLCR\05US\Suppl IDS transmittal 072904.wpd

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|--|-----|---|---------------------|---|--------------------------|----------|-------------------------------|
| SUBSTITUTE FORM PTO-1449<br>(MODIFIED)   |     | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE  |                     | ATTY. DOCKET NO.<br>PLCR/05US/261                 | SERIAL NO.<br>10/689,326 |          |                               |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT   |     |   |                     | APPLICANT<br>Mark A. Back and<br>J. Michael Riley |                          |          |                               |
| (Use several sheets if necessary)  |     |   |                     |   |                          |          |                               |
| (37 CFR 1.98(b))   |     |   |                     | FILING DATE<br>October 20, 2003                   | GROUP<br>3635            |          |                               |
| PATENT DOCUMENTS   |     |   |                     |   |                          |          |                               |
| EXAMINER<br>INITIAL  |     | PATENT NUMBER   | ISSUE<br>DATE       | PATENTEE  | CLASS                    | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|  | A.A |   |                     |   |                          |          |                               |
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|  | A.J |   |                     |   |                          |          |                               |
|  | A.K |   |                     |   |                          |          |                               |
| FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS   |     |   |                     |   |                          |          |                               |
|  |     | DOCUMENT<br>NUMBER  | PUBLICATION<br>DATE | COUNTRY OR<br>PATENT OFFICE                       | CLASS                    | SUBCLASS | TRANSLATION<br>(YES/NO)       |
|  | A.L | DE 8601745.4  | 02/05/87            | Germany   | E04B                     | 7/18     | Yes                           |
|  | A.M | EP 0513934 A1   | 11/19/92            | European  | A01G                     | 9/14     | No                            |
|  | A.N | EP 0644305 B1   | 03/22/95            | European  | E04D                     | 3/14     | Yes                           |
|  | A.O | GB 2204627 B  | 07/10/91            | United Kingdom                                    | E04D                     | 13/03    | No                            |
|  | A.P | GB 2267298 B  | 02/28/96            | United Kingdom                                    | E04C                     | 2/54     | No                            |
|  | A.Q | GB 2275948 A  | 09/14/94            | United Kingdom                                    | E04D                     | 3/06     | No                            |
| OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)  |     |   |                     |   |                          |          |                               |
|  | A.R | Park Lane Roof Systems, <u>Step By Step Guide to Installation - VICTORIAN 5 FACE</u> , Feb., 1996 |                     |   |                          |          |                               |
|  | A.S | Park Lane Roof Systems, <u>Park Lane Conservatory Roofs Data Sheet</u>                            |                     |   |                          |          |                               |
|  | A.T | Park Lane Roof Systems, <u>Roof Vents Data Sheet</u>  |                     |   |                          |          |                               |
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|  | B.L | GB 2283997 B  | 01/08/97            | United Kingdom                                    | E04C  | 2/54                     | No                            |
|  | B.M | GB 2288827 A  | 11/01/97            | United Kingdom                                    | E04B  | 7/06                     | No                            |
|  | B.N | GB 2314875 A  | 01/14/98            | United Kingdom                                    | E04D  | 3/08                     | No                            |
|  | B.O | GB 2316421 A  | 02/25/98            | United Kingdom                                    | E04B  | 7/06                     | No                            |
|  | B.P | GB 2347944 A  | 09/20/2000          | United Kingdom                                    | E04C  | 2/54                     | No                            |
|  | B.Q | GB 2376961 A  | 12/31/02            | United Kingdom                                    | E04B  | 7/02                     | No                            |
| OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)  |     |   |                     |   |       |                          |                               |
|  | B.R | Park Lane Roof Systems, <u>Park Lane Wide Span Data Sheet</u>                   |                     |   |       |                          |                               |
|  | B.S | Park Lane Roof Systems, <u>Park Lane WIDE-span Assembly Instructions</u> , 1999 |                     |   |       |                          |                               |
|  | B.T | Park Lane Roof Systems, <u>Prestige Data Sheet</u>                              |                     |   |       |                          |                               |
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|  | C.L | GB 2256880 A  | 12/23/92            | United Kingdom                                    | E04H                     | 1/02     | No                            |
|  | C.M | GB 2287493 A  | 09/20/95            | United Kingdom                                    | E04B                     | 1/00     | No                            |
|  | C.N | FR 2757891 A  | 07/03/98            | France  | E04C                     | 3/02     | Yes                           |
|  | C.O |   |                     |   |                          |          |                               |
|  | C.P |   |                     |   |                          |          |                               |
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| OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)  |     |   |                     |   |                          |          |                               |
|  | C.R | Park Lane Roof Systems, <u>Elite Data Sheet</u>   |                     |   |                          |          |                               |
|  | C.S | Park Lane Roof Systems, <u>Elite Roof Kits Data Sheet</u>   |                     |   |                          |          |                               |
|  | C.T | Park Lane Roof Systems, <u>Elite Conservatory Roofing System Assembly Instructions</u> , Jan., 2001 |                     |   |                          |          |                               |
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|  | D.R | Park Lane Roof Systems, <u>Elite Conservatory Roofing System Brochure</u>                         |                     |   |                          |          |                               |
|  | D.S | Park Lane Roof Systems, <u>Step By Step Guide to Installation - VICTORIAN 3 FACE</u> , Feb., 1996 |                     |   |                          |          |                               |
|  | D.T | Park Lane Roof Systems, <u>Highlight 600 Brochure</u>   |                     |   |                          |          |                               |
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| OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)  |     |   |                     |   |                          |          |                               |
|  | E.R | Park Lane Roof Systems, <u>Park Lane Conservatory Roof Systems Brochure</u>     |                     |   |                          |          |                               |
|  | E.S | Park Lane Roof Systems, <u>Step By Step Guide to Installation - EDWARDIAN</u>   |                     |   |                          |          |                               |
|  | E.T | Park Lane Roof Systems, <u>Park Lane Series II Assembly Instructions</u> , 1999 |                     |   |                          |          |                               |
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| OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)  |     |   |                     |   |       |                          |                               |
|  | F.R | Park Lane Roof Systems, <u>Park Lane Roof Systems Brochure</u> , July, 1995 |                     |   |       |                          |                               |
|  | F.S | Park Lane Roof Systems, <u>Elite Data Sheet</u>                             |                     |   |       |                          |                               |
|  | F.T | Park Lane Roof Systems, <u>Park Lane Brochure</u>                           |                     |   |       |                          |                               |
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| OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)  |     |  |                     |   |                          |          |                               |
|  | G.R | Park Lane Roof Systems, <u>ELITE Conservatory Roofing Systems Document</u> |                     |   |                          |          |                               |
|  | G.S | Park Lane Roof Systems, <u>25' "WIDE SPAN" Conservatories Document</u>     |                     |   |                          |          |                               |
|  | G.T | Park Lane Roof Systems, <u>25' Fixed Pitch Conservatory Roof Document</u>  |                     |   |                          |          |                               |
| EXAMINER   |     |  |                     | DATE CONSIDERED                                   |                          |          |                               |
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| U.S. PATENT DOCUMENTS  |     |  |                     |   |       |                          |                               |
| EXAMINER<br>INITIAL  |     | PATENT NUMBER  | ISSUE<br>DATE       | PATENTEE  | CLASS | SUBCLASS                 | FILING DATE<br>IF APPROPRIATE |
|  | H.A |  |                     |   |       |                          |                               |
|  | H.B |  |                     |   |       |                          |                               |
|  | H.C |  |                     |   |       |                          |                               |
|  | H.D |  |                     |   |       |                          |                               |
|  | H.E |  |                     |   |       |                          |                               |
|  | H.F |  |                     |   |       |                          |                               |
|  | H.G |  |                     |   |       |                          |                               |
|  | H.H |  |                     |   |       |                          |                               |
|  | H.I |  |                     |   |       |                          |                               |
|  | H.J |  |                     |   |       |                          |                               |
|  | H.K |  |                     |   |       |                          |                               |
| FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS   |     |  |                     |   |       |                          |                               |
|  |     | DOCUMENT<br>NUMBER   | PUBLICATION<br>DATE | COUNTRY OR<br>PATENT OFFICE                       | CLASS | SUBCLASS                 | TRANSLATION<br>(YES/NO)       |
|  | H.L |  |                     |   |       |                          |                               |
|  | H.M |  |                     |   |       |                          |                               |
|  | H.N |  |                     |   |       |                          |                               |
|  | H.O |  |                     |   |       |                          |                               |
|  | H.P |  |                     |   |       |                          |                               |
|  | H.Q |  |                     |   |       |                          |                               |
| OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)  |     |  |                     |   |       |                          |                               |
|  | H.R | Park Lane Roof Systems, <u>Park Lane Variable Pitch Conservatory Roof Document</u>             |                     |   |       |                          |                               |
|  | H.S | Aluminum Profiles and Accessories Ltd., <u>Conservatory and Roofing System Handbook</u> (1991) |                     |   |       |                          |                               |
|  | H.T |  |                     |   |       |                          |                               |
| EXAMINER   |     |  |                     | DATE CONSIDERED                                   |       |                          |                               |
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